



Marketech Stockbroking Pty Ltd

ACN: 611 391 729

AFSL: 486 148

Date: 30, November, 2017

Purpose:

The purpose of this Financial Services Guide ('FSG') is to assist you, the investor, in deciding whether to use any of the services offered by Marketech Stockbroking Pty Ltd (Marketech). The services Marketech offer are outlined in this guide. This guide contains information about:

- our contact details
- the financial services/products we are authorised to provide to you
- the cost of those services/products
- the remuneration that may be paid to Marketech and/or other relevant persons in relation to the services offered
- details of any relationships we have with others that might influence us in providing these services/products
- information about how you may make a complaint against Marketech and how these complaints are dealt with.

Two common documents you may receive with other financial services providers are a Statement of Advice (SOA) or a Product Disclosure Statement (PDS).

Statement of Advice (SOA)

You will NOT receive a Statement of Advice from Marketech as we do not provide personal advice and nor are we authorised to do so.

Product Disclosure Statement (PDS)

A Product Disclosure Statement will NOT be provided to you as Marketech do not offer any financial products that require a Product Disclosure document to be issued.

Contact Details:

Marketech Stockbroking Pty. Ltd. - ACN: 611 391 729, Australian Financial Services Licence ("AFSL") number: 486148

Marketech may be contacted in writing, or in person at:

Unit 6, 15 Rosslyn St.
West Leederville,
Western Australia 6007

or by phoning (+61 8) 9322 8444
or by e-mailing to: info@marketech.com.au

further information about Marketech's business may be found at
www.marketech.com.au

Special Instructions:

A client may provide instructions to Marketech by contacting us by one of the above methods. There are some special instruction arrangements required when dealing with CHESS. A separate information package outlining these special instructions will be forwarded to you at the account opening phase (and the establishment of your CHESS account)

Authorisations:

Marketech is authorised to offer the following financial services and financial products to retail and wholesale clients:

- provide general advice only on:
 - basic deposit products
 - securities
- dealing in (by applying for, acquiring, varying or disposing):
 - basic deposit products
 - securities

Marketech will make available to you a trading platform that contains market and other factual data. This data can be manipulated by you (for example, by creating a chart). Your manipulation of this data (for example, by applying a moving average to a chart) may then influence you make an investment decision. After you have made an investment decision, you may also use the trading platform to place a trade on an ASX listed security (Marketech does not deal or advise in derivatives). Therefore, Marketech will provide factual information or general advice to you about its trading platform or listed securities.

As we do NOT provide personal advice, the general advice and information regarding listed securities or other services we provide does not take into account your particular objectives, financial situation or needs.

Because of that you should, before acting on the advice or information, consider the appropriateness of the general advice or information having regard to your objectives, financial situation and needs.

Our Financial Products:

Through the Marketech trading platform, you will receive market data from the various global stock exchanges including some limited, factual information on global securities. You are not able to trade these other international securities through Marketech.

Commissions and other Payments:

Transaction Fees

Transaction Fees may be charged on each transaction when you buy or sell a listed security.

The Transaction Fee you will pay is based on the full notional value of your transaction. It is expressed as a percentage of the contract value with a fixed minimum charge. A Transaction Fee is charged for each trade. Details of your Transaction Fees are included in the reports you can access via the trading platform.



The exact Transaction Fee will be communicated to you by Marketech in the trading platform (in the order pad).

The Transaction Fees may vary but will fall between the bands below;

A minimum fee of \$5 or 0.02% of the value of the shares traded (whichever is greater)
A minimum fee of \$19.80 or 0.1% of the value of the shares traded (whichever is greater)
Amounts of inclusive of GST

After you open your account, Marketech reserves the right to change the Transaction Fees. A minimum of 5 days notice to of a change in the Transaction Fees will be communicated to you electronically. (We envisage the notification period would be substantially longer but the 5 day notice exists should our suppliers, including exchanges, increase their fees with little notice).

Platform and Data Subscription Fee

Marketech may provide you with free access to a trading platform allowing you to access live Chi-X data. Marketech will charge you a fee of \$99 (inclusive of GST) per calendar month for the use of a trading platform with additional features. This fee also includes the live ASX and Chi-X data and all associated data royalties (for your personal use only). This fee is not subtracted from your trading account but through a separate credit card payment. The fee is paid in advance of use of the platform. The fee may also allow you access to further discounted brokerage charges should you choose.

If funds are not paid in advance, you will be moved back to the free subscription platform from which you are still able to execute trades.

Potential Administration and other Costs

Marketech executes and clears trades through Open Markets (for which a separate FSG is provided). Their list of fees is contained within their FSG and/or terms and conditions documents.

Remuneration or other benefits received by Marketech

Directors and employees of Marketech are paid a fixed salary with no part of their remuneration linked to performance.

Remuneration or benefits paid or received by others in relation to Marketech Products & Services

Marketech may pay whole or part of the above fees to other parties such as (but not limited to) the Australian Securities Exchange, Chi-X and Open Markets. The amount they receive will vary for a number of reasons including (but not limited to) the size of your trades, how much money you have to invest, how many trades you transact and whether you receive live data.

Marketech also relies on other outsourced services to operate its business but these services are generally not directly linked to your investment activities.



Complaints

If you have any problems with any aspects of the service you receive from Marketech or have any suggestions which may improve our level of service, please contact the director on (08) 9322 8444 and tell him or her about your complaint or suggestion.

If your telephone complaint is not resolved in seven days, please submit your complaint in writing to "The Director" Marketech Stockbroking Pty. Ltd., who will aim to resolve your complaint quickly.

In the event you are still not satisfied with our response you may direct the complaint to the following:

Financial Ombudsman Service Australia (FOS)

Marketech is a member of the Financial Ombudsman Service Australia (membership number 38531)

You may either telephone the FOS on 1300 78 0808 or fax (03) 9613 6399 or email info@fos.org.au.

Write to them at GPO Box 3, Melbourne, Victoria, 3001.

They will act as an independent conciliator to resolve the matter and adjudicate if necessary.

Other financial services providers such as Open Markets may provide services in relation to Marketech's online brokerage business. You should consult their FSG for information about how to make a complaint in relation to their services.

Insurance Arrangements

Marketech has in place professional indemnity insurance that satisfies the requirements for compensation arrangements under section 912B of the *Corporations Act 2001*.